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(to be used for all correspondence during pendency of filed application)			Group Art Unit Number		Art Unit Number	3731		<del>=1 V  </del>	
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PETER CALLAS, JOHN P. LUNSFORD, ALBERT K. CHIRAL MAY 3 1 2001

TECHNOLOGY CENTER R3700

APPLICATION NO.: 09/648,660

FILING DATE: August 25, 2000

TITLE: ENDOSCOPIC SURGICAL ACCESS PORT AND METHOD

EXAMINER: Unknown

GROUP ART UNIT: 3731

APPLICANT(S):

ATTY. DKT. NO.: 80121-04926

## **CERTIFICATE OF MAILING**

I hereby certify that this correspondence is being deposited with the United States Postal Service as first class mail in an envelope addressed to: Commissioner For Patents, Washington, D.C. 20231, on the date shown below:

Dated: 52101 By: Q.

ALBERT C. SMITH, Reg. No.: 20,355

COMMISSIONER FOR PATENTS WASHINGTON, DC. 20231

## INFORMATION DISCLOSURE STATEMENT Under 37 CFR §§ 1.56 and 1.97-98

SIR:

Pursuant to the provisions of 37 CFR §§ 1.56 and 1.97-98, enclosed herewith is modified form PTO-1449 listing references for consideration by the Examiner. A copy is enclosed herewith of each listed reference, which may be material to the examination of this application, and with respect to which there may be a duty to disclose.

The filing of this Information Disclosure Statement shall not be construed as a representation regarding the completeness of the list of references, or that inclusion of a reference in this list is an admission that it is prior art or is pertinent to this application, or that a search has been made, or as an admission that the information listed is, or may be considered to be, material to patentability, or that no other material information exists, and shall not be construed as an admission against interest in any manner.

	appli refer	cation S ences ci	Serial Noted there	es, under 35 U.S.C. § 120, on the earlier filing date of prior o. [SERIAL NUMBER], filed on [FILING DATE], and the ein are hereby referenced, but are not required to be provided order 37 CFR § 1.98(d).				
The l	Informa	ition Dis	sclosure	Statement submitted herewith is being filed:				
	$\boxtimes$	within	three n	nonths of the filing date of the application, or date of entry				
		into th	into the national stage of an international application, or before the mailing					
		date o	f a first	official action on the merits, whichever event last occurred;				
				iling of a first official action after the filing of a request for amination (RCE) under 37 CFR § 1.114;				
after three months of the filing date of this national application or the of entry of the national stage in an international application, or after the								
		mailin	g date o	of the first official action on the merits, whichever event last				
		occurr	ed, but	before the mailing date of the first to occur of either:				
		(1)	a fina	al action under 37 CFR §1.113; OR				
		(2)	a noti	ice of allowance under 37 CFR §1.311; AND				
			attacl	ned hereto is the fee of \$180, as set forth under 37 CFR				
			•	(p), for submission of this Information Disclosure Statement 37 CFR.§ 1.97(c); OR				
			icant certifies pursuant to 37 CFR § 1.97(e) that:					
		_		each item of information contained in this Information				
				Disclosure Statement was cited in a communication from a				
				foreign patent office in a counterpart foreign application not				
				more than three months prior to the filing of this Statement;				
				OR				
				no item of information contained in this Information				
				Disclosure Statement was cited in a communication from a				
				foreign patent office in a counterpart foreign application or,				
				to the knowledge of the person signing this certification				
				after making reasonable inquiry, was known to any				
				individual designated under 37 CFR § 1.56(c) more than				
				three months prior to the filing of this Statement.				

	OR	
	] befo	re the payment of the issue fee but after the mailing date of the first to
	occi	ır of either:
	[1]	a final action under 37 CFR § 1.113; OR
	[2]	a notice of allowance under 37 CFR § 1.311; AND
		in accordance with the requirements of 37 CFR § 1.97(d):
		Applicant certifies pursuant to 37 CFR. § 1.97(e) that:
		each item of information contained in this Information
		Disclosure Statement was cited in a communication from a
		foreign patent office in a counterpart foreign application no
		more than three months prior to the filing of this Statement;
		OR
		no item of information contained in this Information
		Disclosure Statement was cited in a communication from a
		foreign patent office in a counterpart foreign application or,
		to the knowledge of the person signing this certification
		after making reasonable inquiry, was known to any
		individual designated under 37 CFR § 1.56(c) more than
		three months prior to the filing of this Statement; AND
		Applicant hereby respectfully petitions for the consideration of
		the accompanying Information Disclosure Statement under 37 CFR
	•	§ 1.97(d)(2); AND
		Applicant submits the petition fee of \$180 as set forth in 37 CFR §
		1.17(p).
<del></del>		ubmits that no fee is required for the consideration of the
accompanying In	nformation	Disclosure Statement.

Consideration of the listed references and favorable action are solicited.

Respectfully submitted, PETER CALLAS, JOHN P. LUNSFORD, ALBERT K. CHIN, MICHAEL WEI

Dated: \_\_\_\_\_\_S | z | | O |

Albert C. Smith, Reg. No.: 20,355

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Fax.: (650) 494-1417